

# TREES

CS210 – Data Structures and Algorithms

Dr. Basit Qureshi



<https://www.drbasit.org/>

# CS210: THE JOURNEY SO FAR

	Runtime		
Data Structure / Algorithm	Bestcase	Average Case	Worst Case
<b>Singly Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Doubly Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Circular Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Stacks*</b>	$O(1)$	$O(1)$	$O(1)$
<b>Queues*</b>	$O(1)$	$O(1)$	$O(1)$
<hr/>			
<b>Bubble Sort</b>	$O(n^2)$	$O(n^2)$	$O(n^2)$
<b>Selection Sort</b>	$O(n^2/2)$	$O(n^2/2)$	$O(n^2)$
<b>Insertion Sort</b>	$O(n)$	$O(n^2/2)$	$O(n^2)$
<b>Merge Sort</b>	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$
<b>Tim Sort</b>	$O(n)$	$O(n \log n)$	$O(n \log n)$
<b>Quick Sort</b>	$O(n \log n)$	$O(1.39 n \log n)$	$O(n^2/2)$

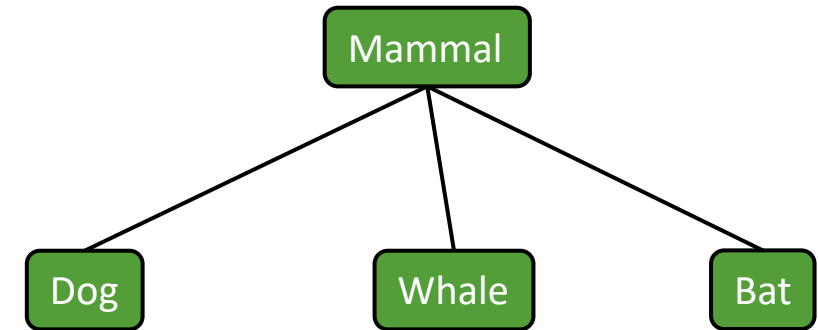
\* Limited operations

# TREES

- Trees: Concepts
- Tree API
- Caveats in Making Trees
- Binary Trees

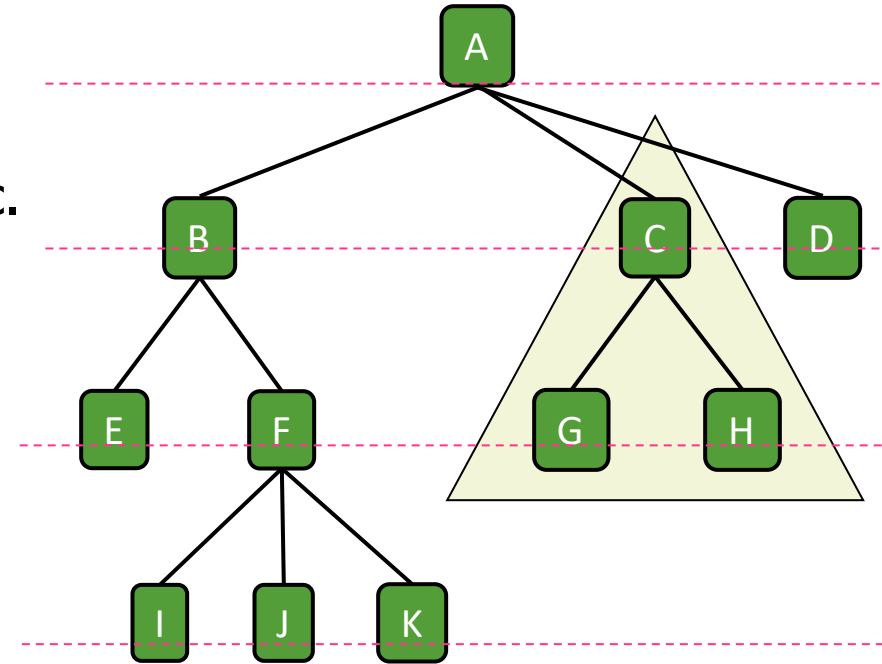
# TREES

- A tree is an abstract model of a hierarchical structure
- A tree consists of nodes with a *parent-child* relation
- Examples:
  - Organization Chart
  - File Structures
  - Programming Environments
  - Expression Trees



# TREE TERMINOLOGY

- Root
- Internal node: node with at least one child
- Leaf: node without children
- Ancestors: parent, grandparent, grand-grandparent, etc.
- Depth of a node: number of ancestors of a node
- Height of a tree: maximum depth of any node
- Descendant: child, grandchild, grand-grandchild, etc.
- Subtree: tree consisting of a node and its descendants



## Trivia:

What is the Height of this tree?

What is the Depth at F?

What are the ancestors of G?

What are the descendants of B?

# TREE API

## Tree

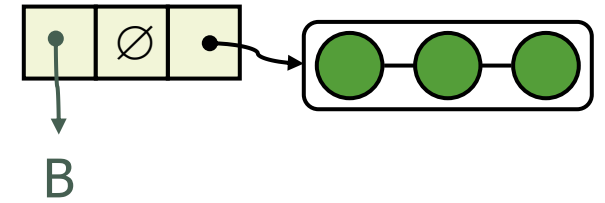
```
Node Root;  
int size;
```

```
void insert(int x);  
Node remove(int x);  
Node search(int x);  
boolean isEmpty();  
String toString();  
Node getRoot();  
Node getParent(Node);  
List getChildren(Node);  
Node getNumChildren();  
boolean isLeaf(Node);  
boolean isInternal();
```

## Node

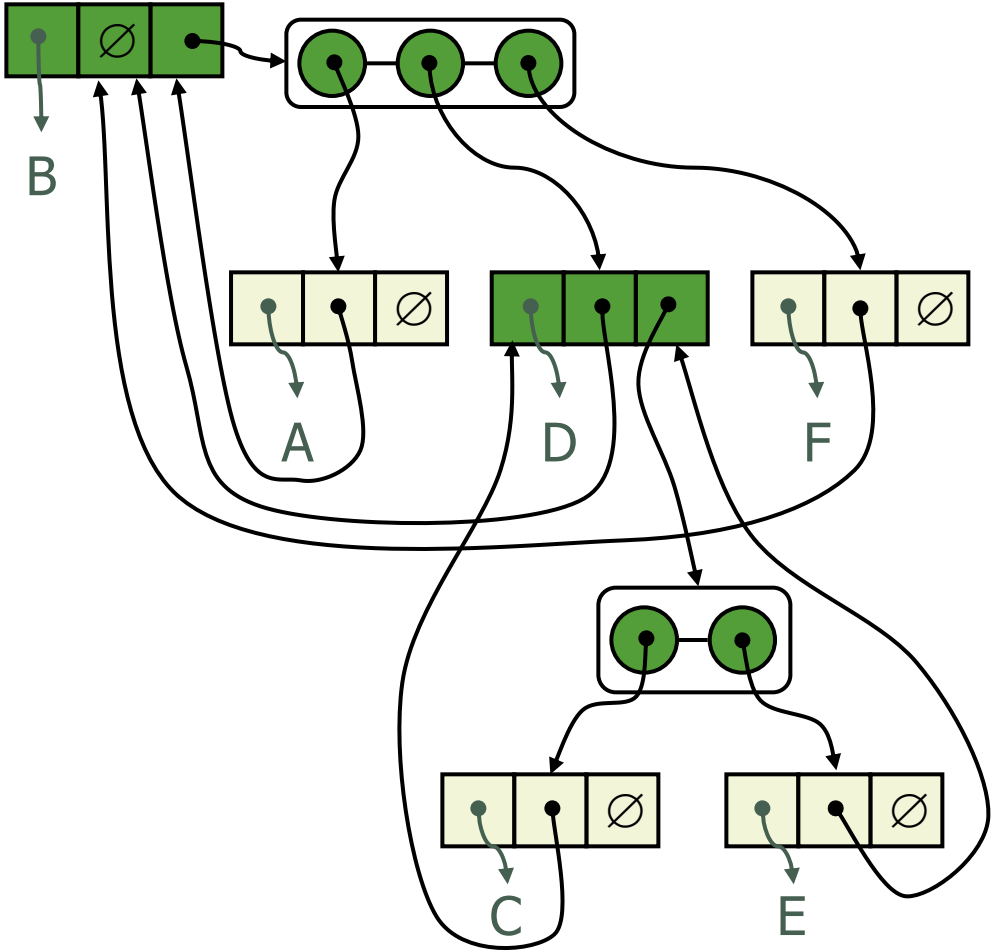
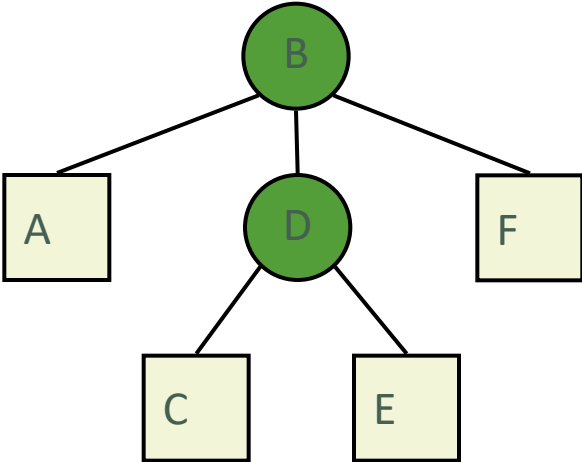
```
int val;  
Node parent;  
List Children;
```

```
Node ();  
Node (, );
```



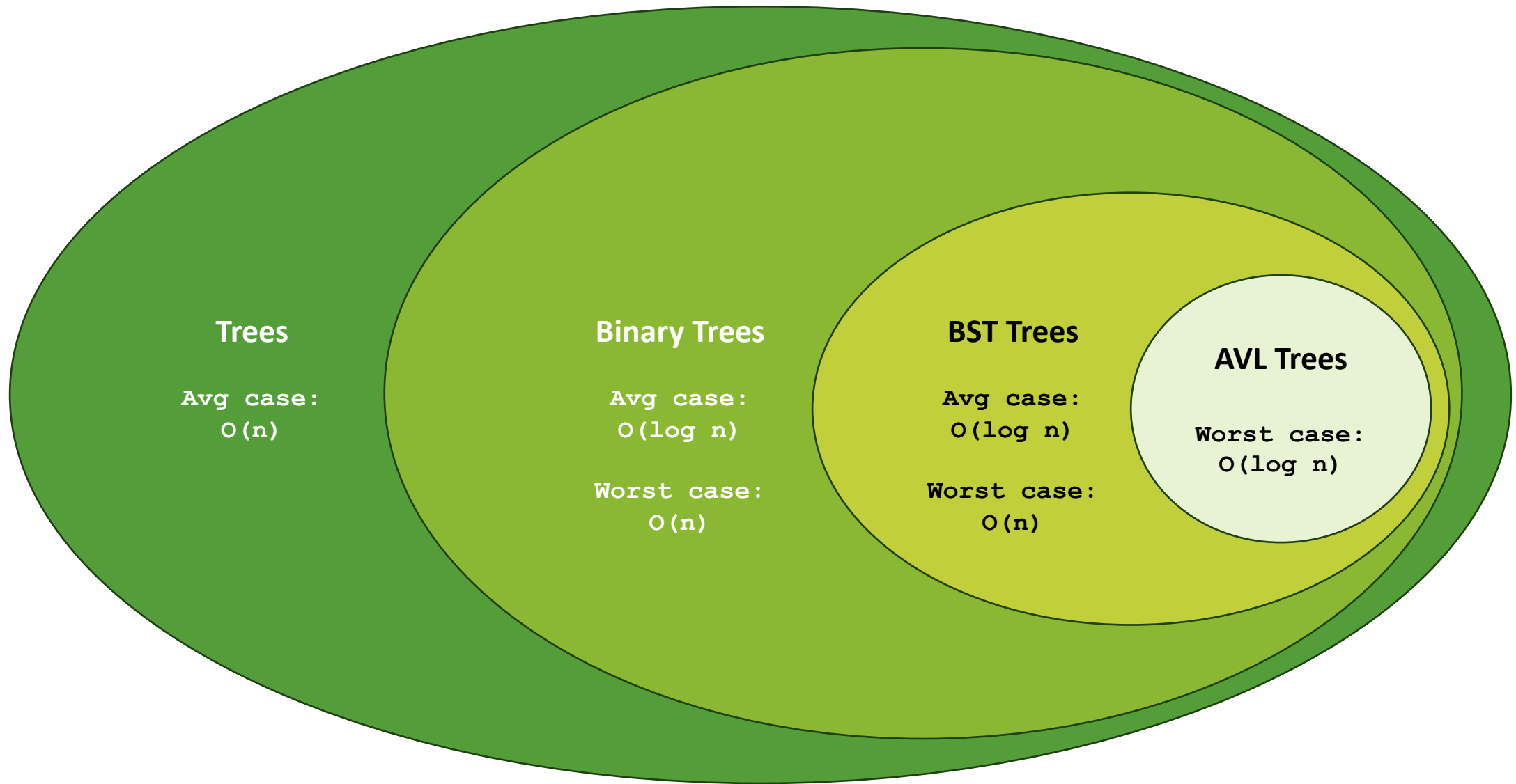
Additional methods can be defined as necessary

# IMPLEMENTING A TREE



Complicated!  
Is the runtime Linear or better?

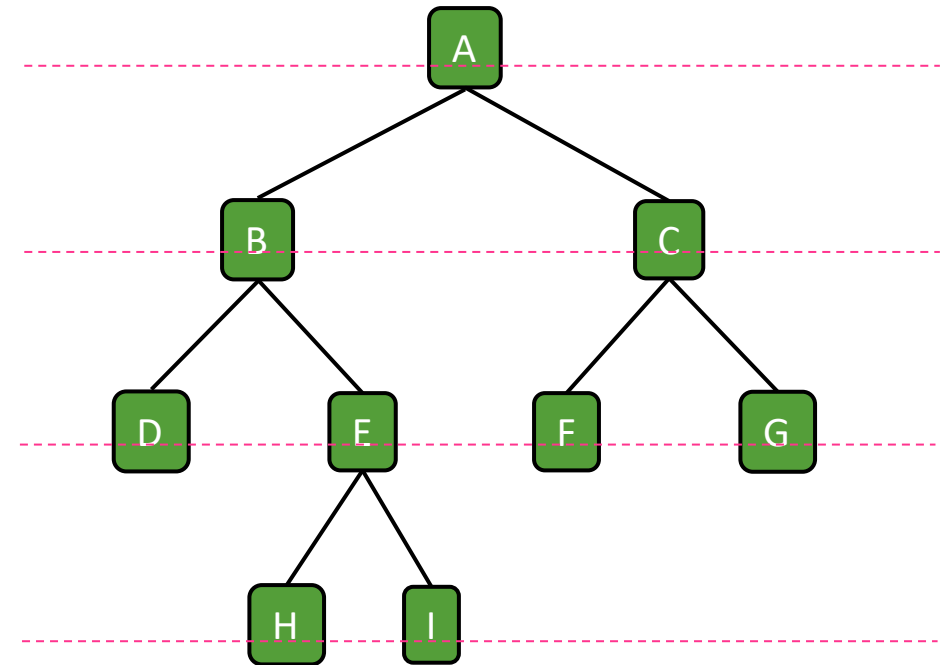






# BINARY TREES

- A binary tree is a tree with the following properties:
  - Each internal node has **at most two children** (exactly two for proper binary trees)
  - The children of a node are an ordered pair
- We call the children of an internal node **left child** and **right child**
- Alternative recursive definition: a binary tree is either
  - a tree consisting of a single node, or
  - a tree whose root has an ordered pair of children, each of which is a binary tree



# BINARY TREES

- Notation

$n$  number of nodes

$e$  number of external nodes

$i$  number of internal nodes

$h$  height

- ◆ Properties:

- $n = 2^h - 1$

- $e = i + 1$

- $n = 2e - 1$

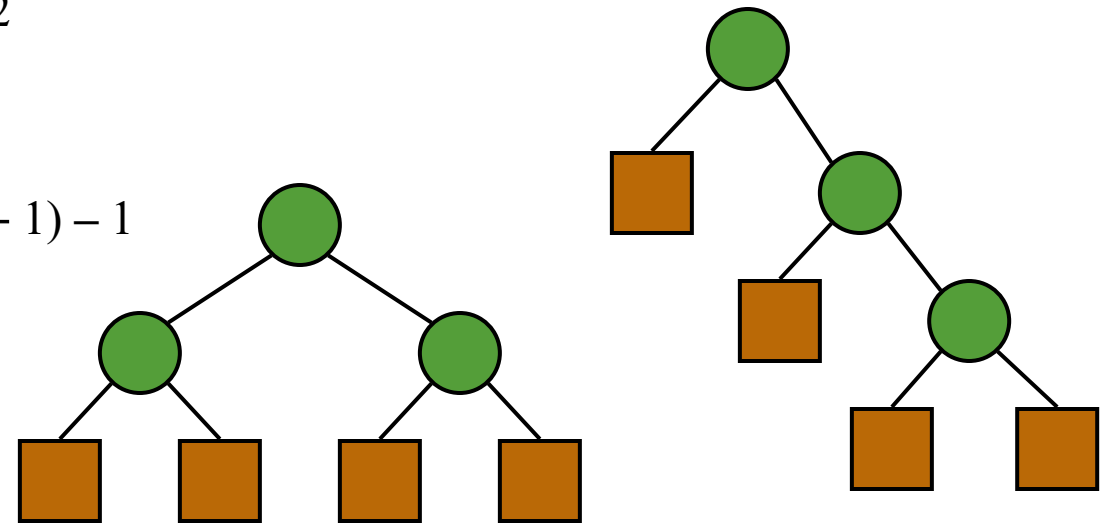
- $h \leq i$

- $h \leq (n - 1)/2$

- $e \leq 2^h$

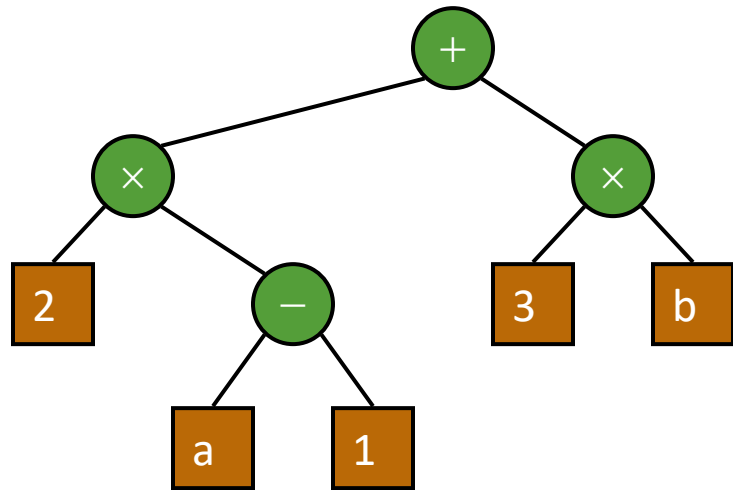
- $h \geq \log_2 e$

- $h \geq \log_2 (n + 1) - 1$



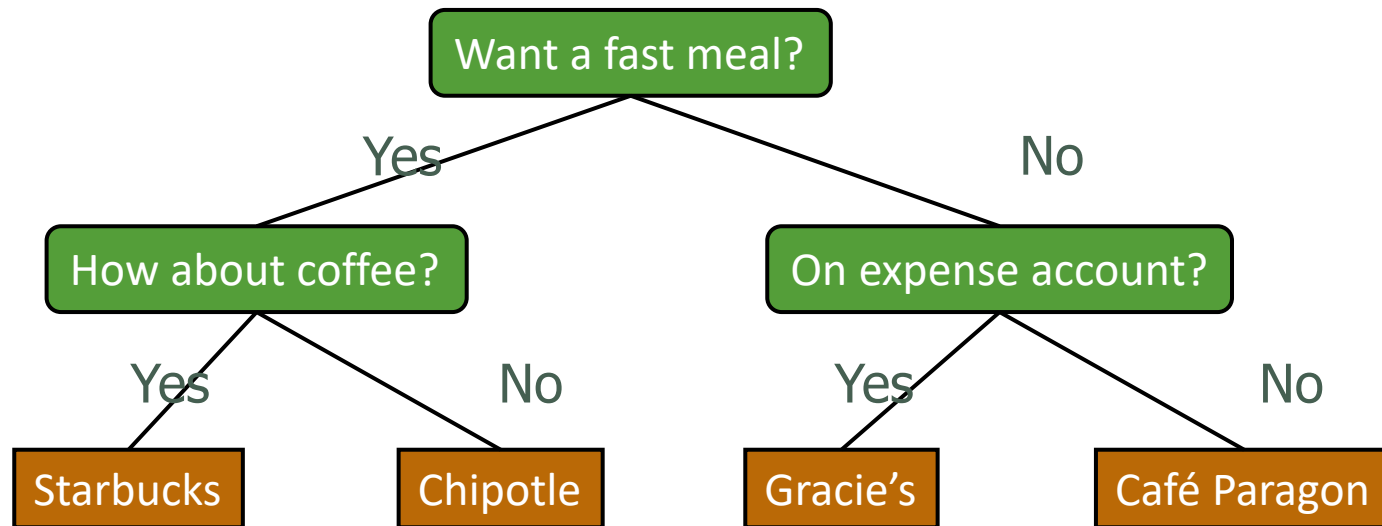
# BINARY TREES

- Binary tree associated with an arithmetic expression
  - internal nodes: operators
  - external nodes: operands
- Examples: arithmetic expression tree for the expression  $(2 \times (a - 1) + (3 \times b))$



# BINARY TREES

- Binary tree associated with a decision process
  - internal nodes: questions with yes/no answer
  - external nodes: decisions
- Example: dining decision



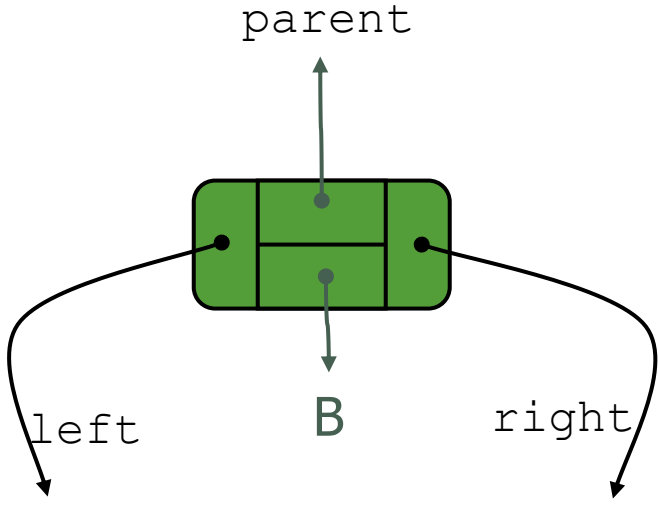
# BINARY TREE API

```
BinaryTree
Node Root;
int size;

void insert(int x);
Node remove(int x);
Node search(int x);
boolean isEmpty();
String toString();
Node getRoot();
Node getParent(Node);
List getLChild(Node);
List getRChild(Node);
boolean isLeaf(Node);
boolean isInternal();
```

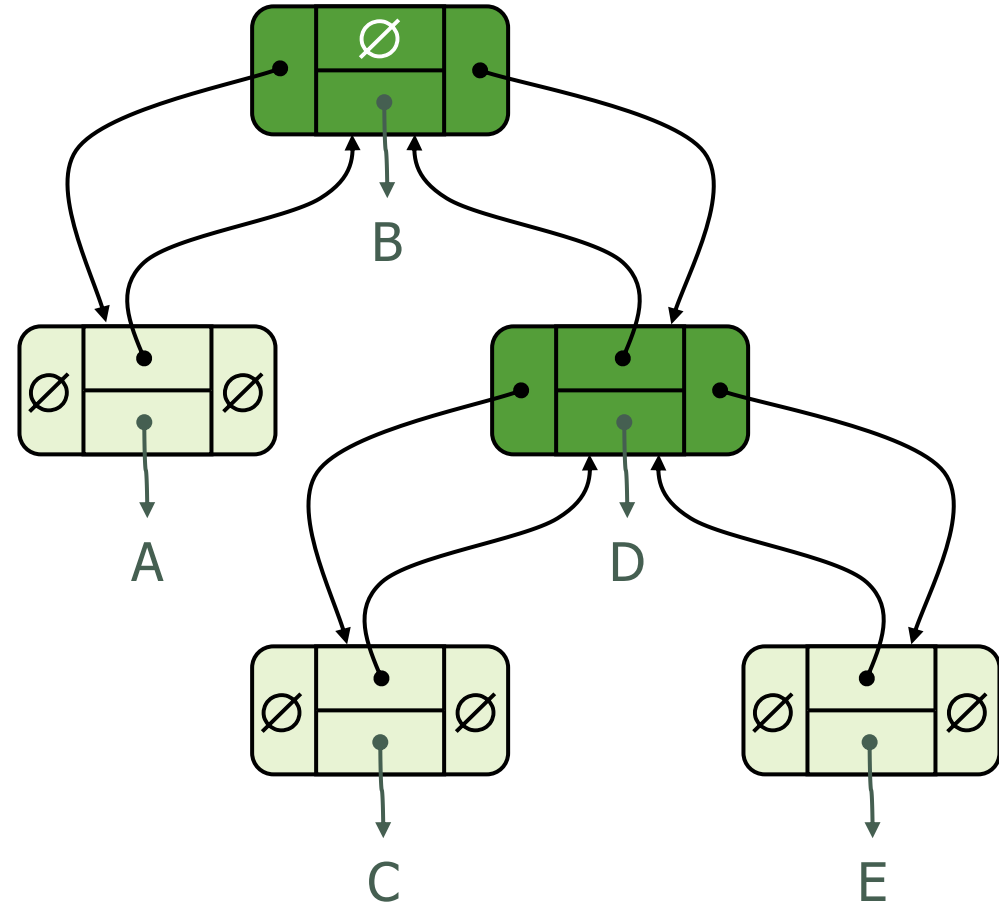
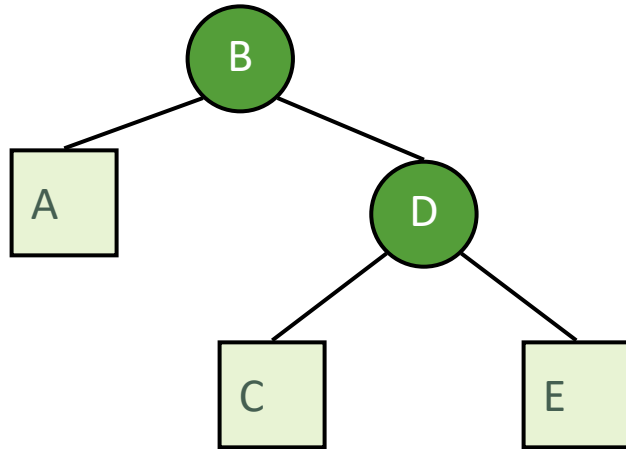
```
Node
int val;
Node parent;
Node left;
Node right;

Node ();
Node (, );
```



Additional methods can be defined as necessary

# BINARY TREE WITH LINKED STRUCTURES

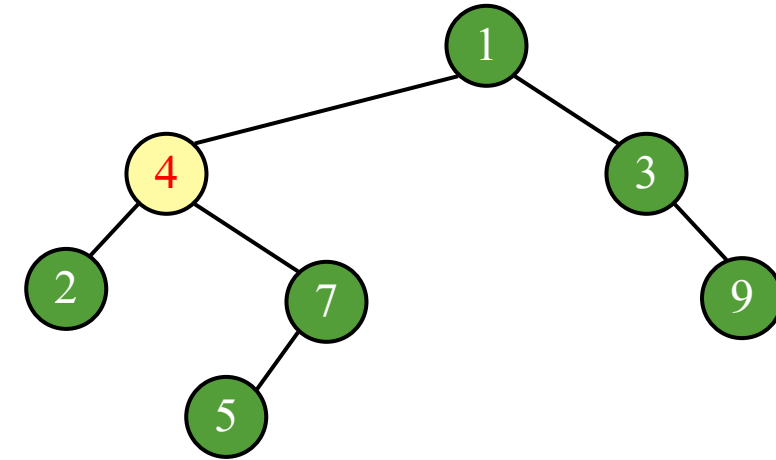
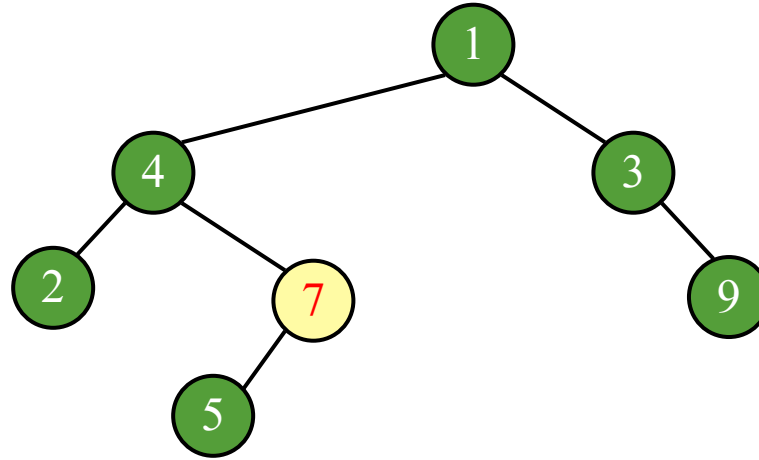
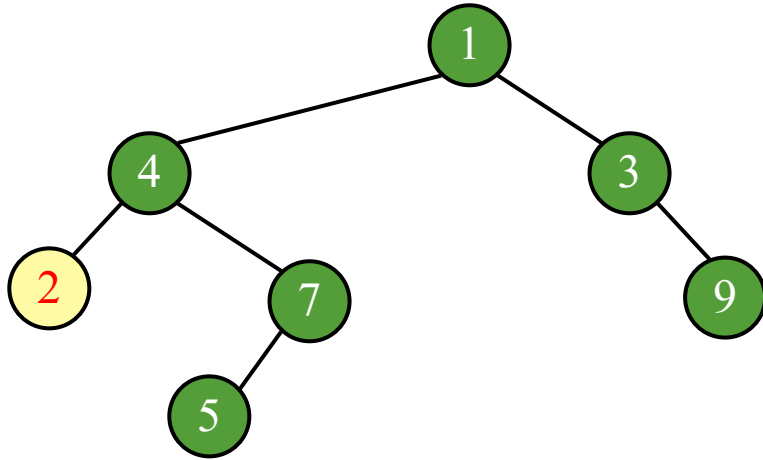


Is the runtime Linear or better?

- Insert
- Search
- Delete

# BINARY TREE WITH LINKED STRUCTURES

Deletion is a problem!



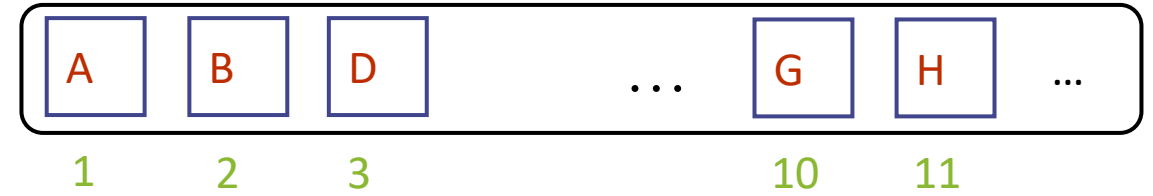
Deletion problem!

- Delete node that is a leaf
- Delete node that has one child
- Delete node that has two children



# BINARY TREE WITH ARRAYS

- Fixed size, but faster?!!



Node  $v$  is stored at  $A[\text{position}(v)]$

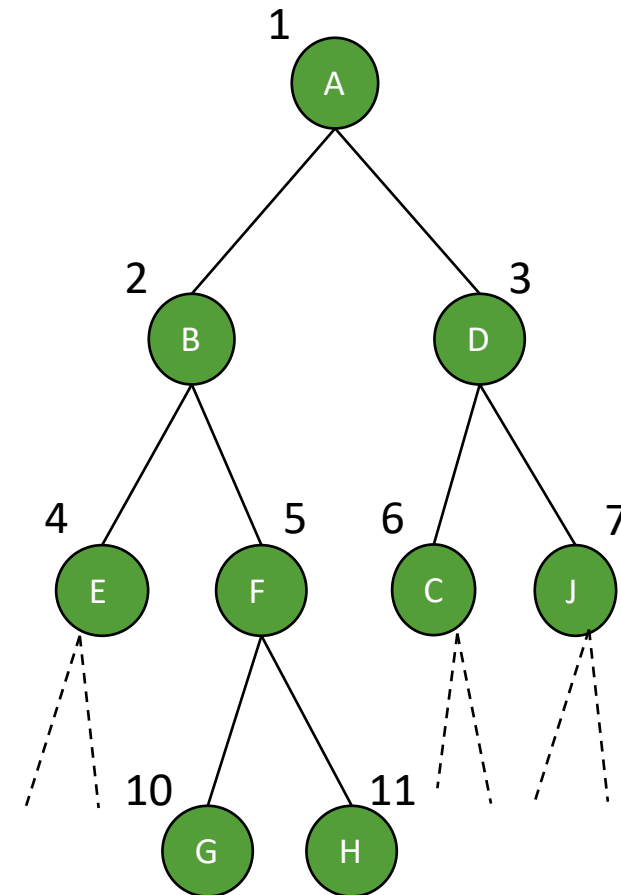
- $\text{position}(\text{root}) = 1$
- $\text{Parent}(v) = \text{position}(v) / 2$
- $\text{LeftChild}(v) = \text{position}(v) * 2$
- $\text{RightChild}(v) = \text{position}(v) * 2 + 1$

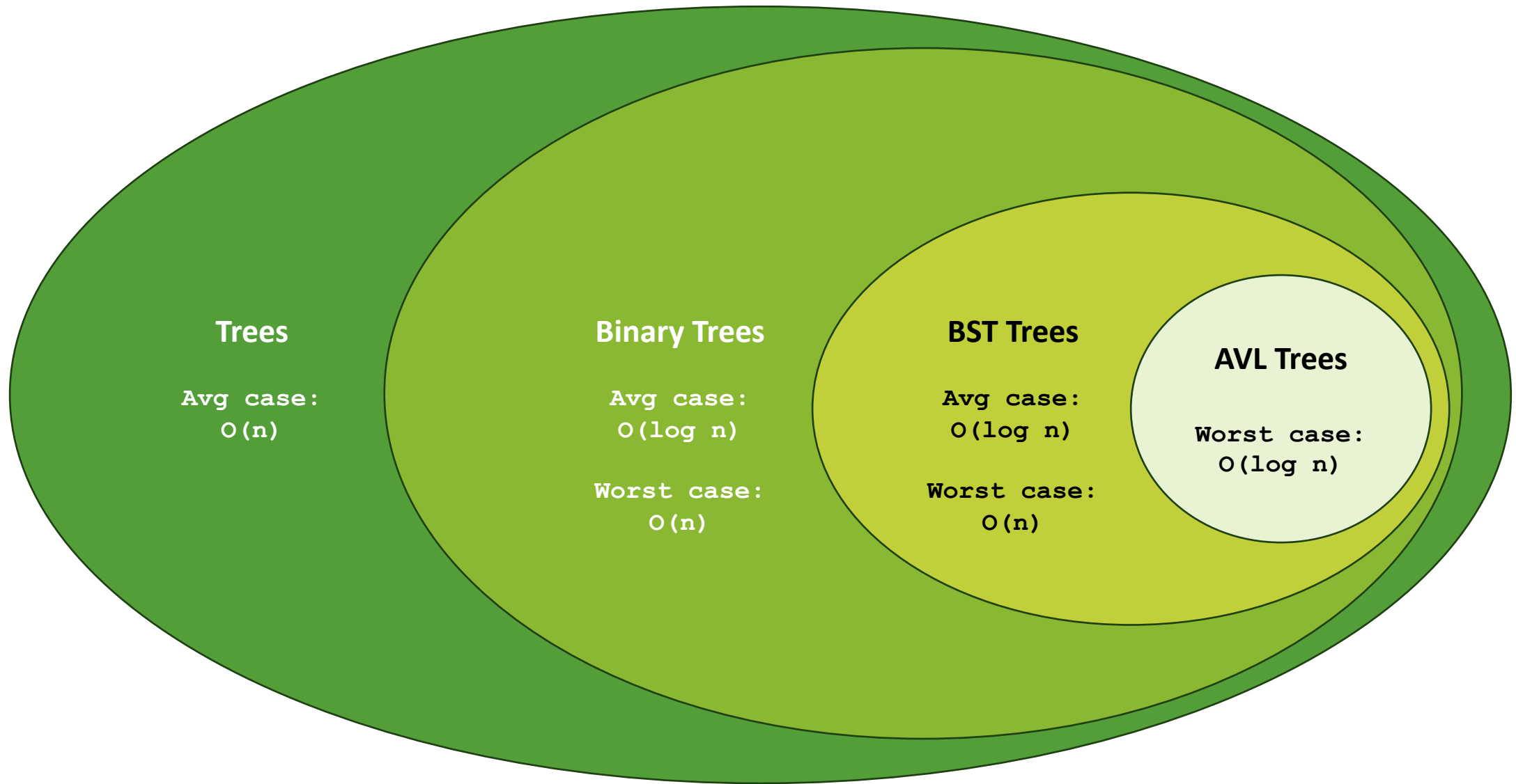
Does it improve the runtime?

- Insert
- Search
- Delete

What about the deletion problem!

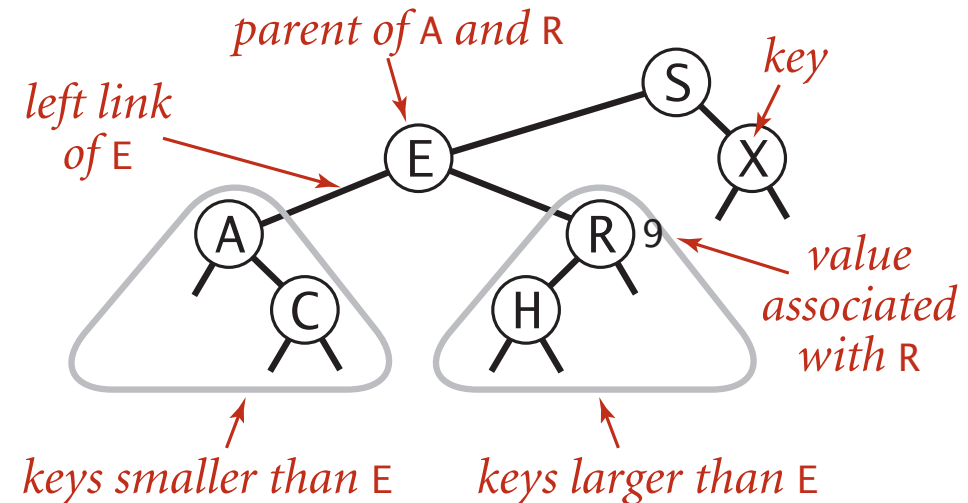
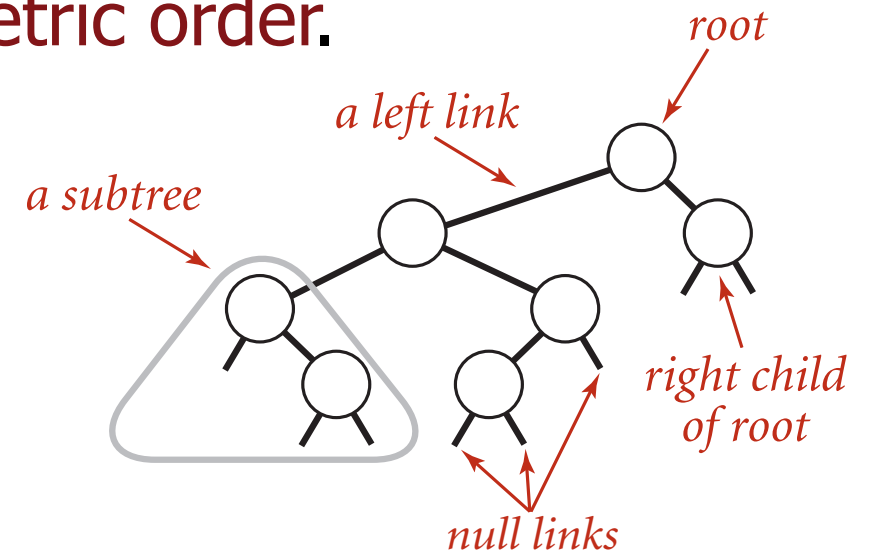
- Delete node that is a leaf
- Delete node that has one child
- Delete node that has two children

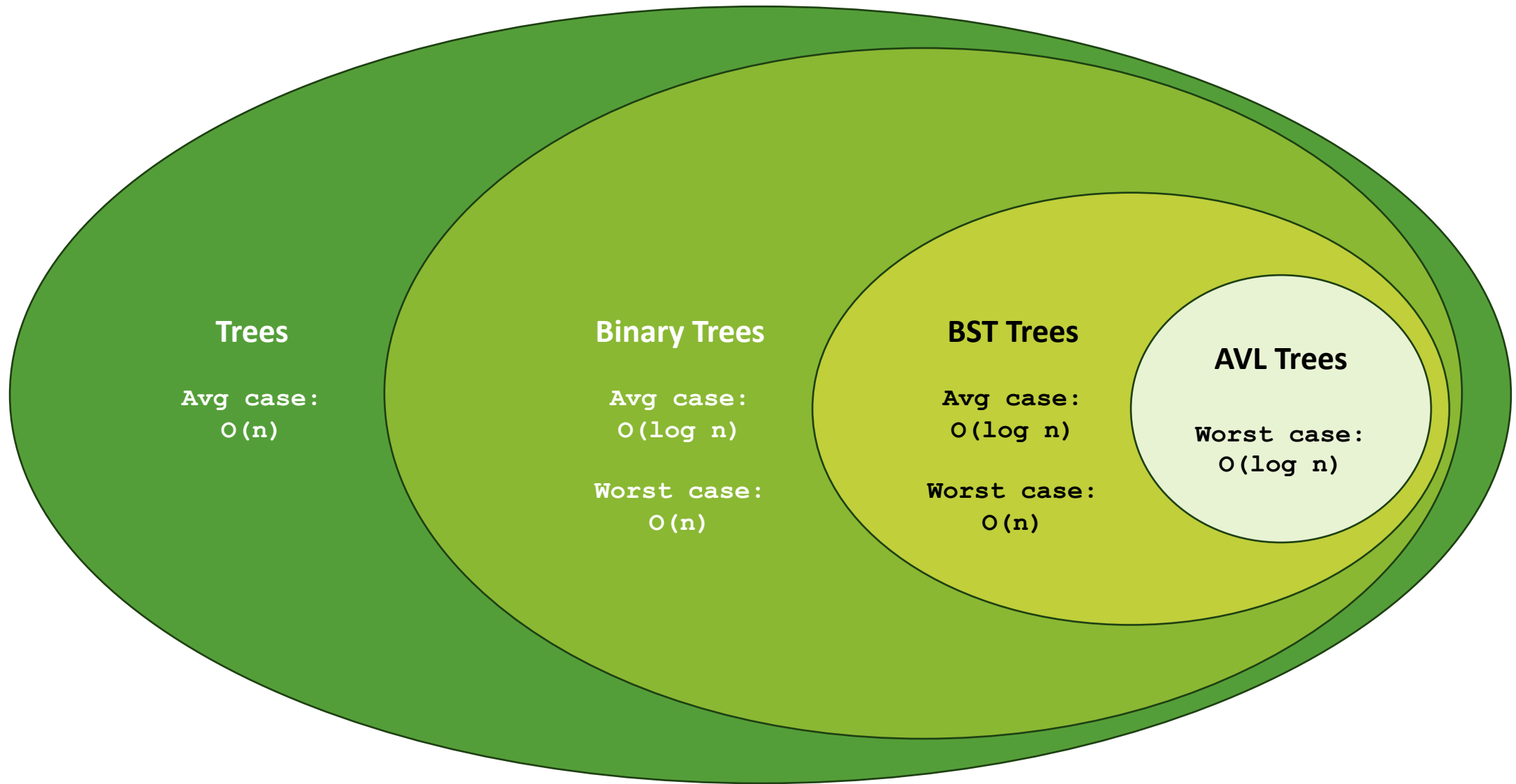




# BINARY SEARCH TREES

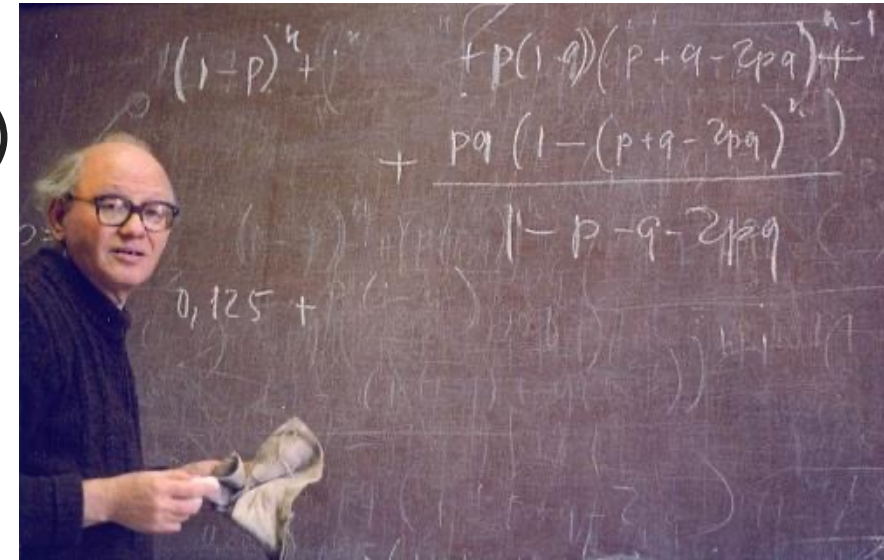
- **Definition.** A BST is a **binary tree** in **symmetric order**.
- A binary tree is either:
  - Empty.
  - Two disjoint binary trees (left and right).
- **Symmetric order.** Each node has a key, and every node's key is:
  - Larger than all keys in its left subtree.
  - Smaller than all keys in its right subtree.





# AVL TREES

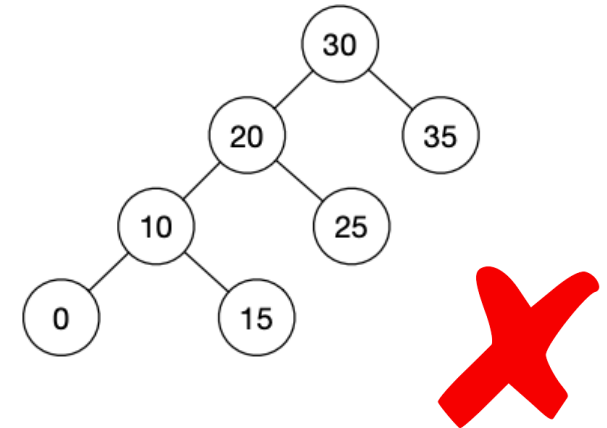
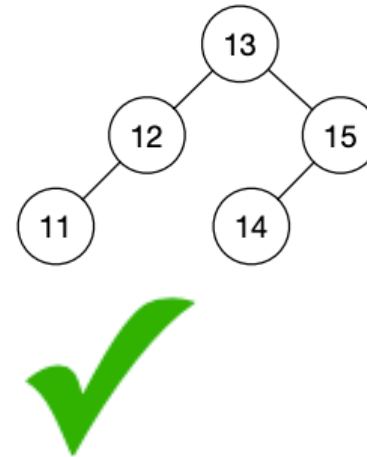
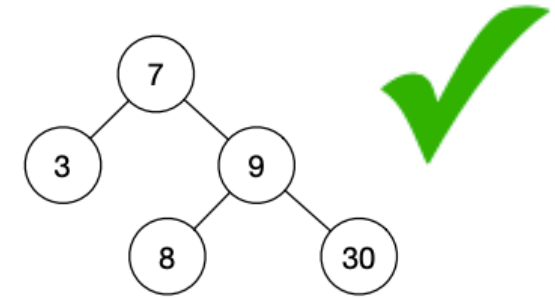
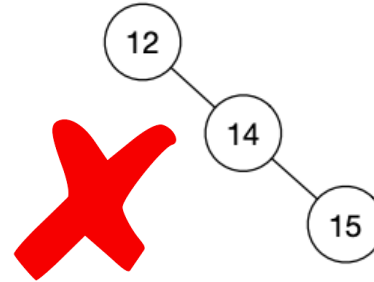
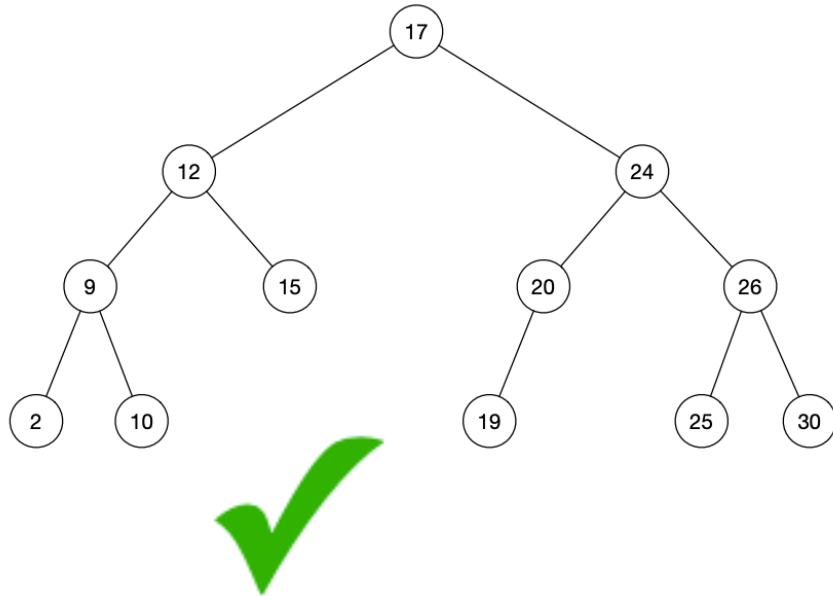
- **A**delson-**V**elsky and **L**andis (AVL)
- A Self Balancing Binary Search Tree
- Cost of Insert, Remove, Search is  $O(\log n)$



# AVL TREES

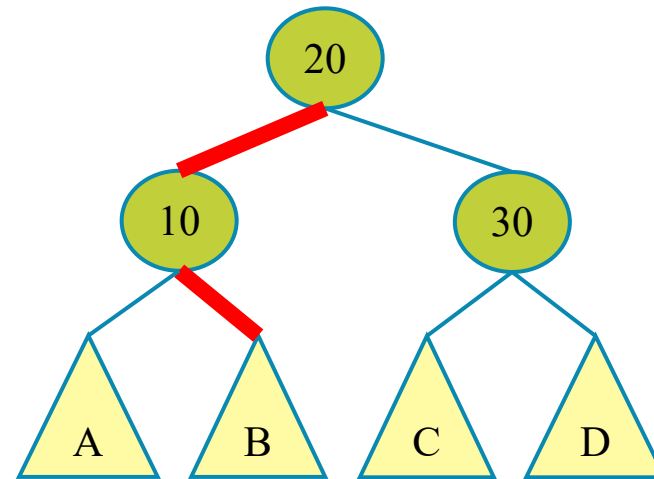
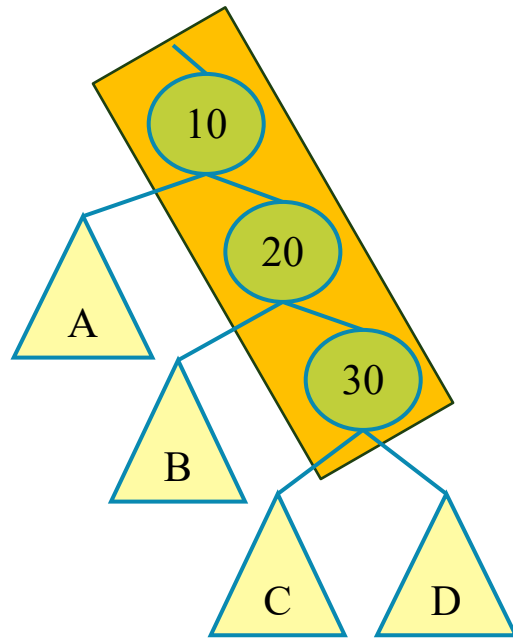
- Algorithm:

1. Check Balanced Tree .i.e the height difference should not exceed ONE



# AVL TREES

- Algorithm:
  2. If not Balanced then **ROTATE**

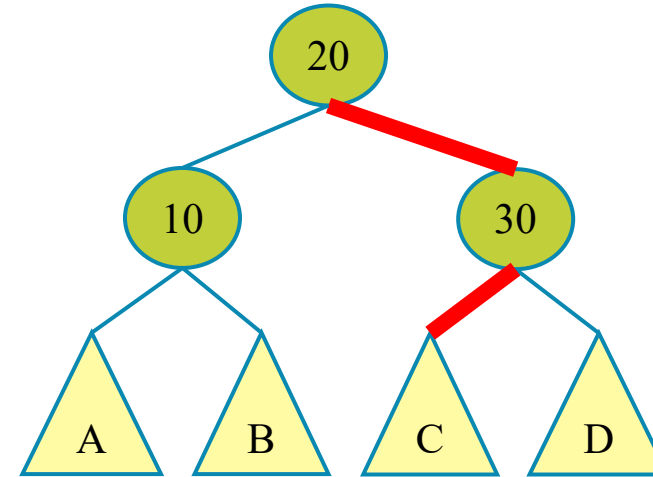
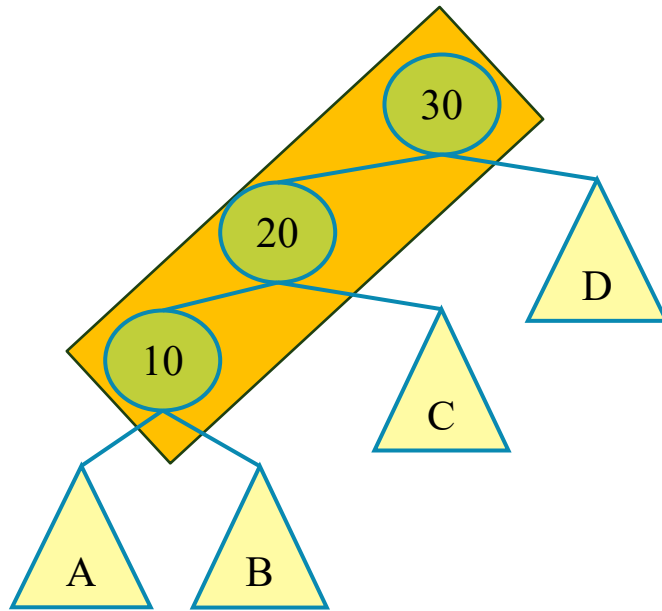


RR



# AVL TREES

- Algorithm:
  2. If not Balanced then **ROTATE**

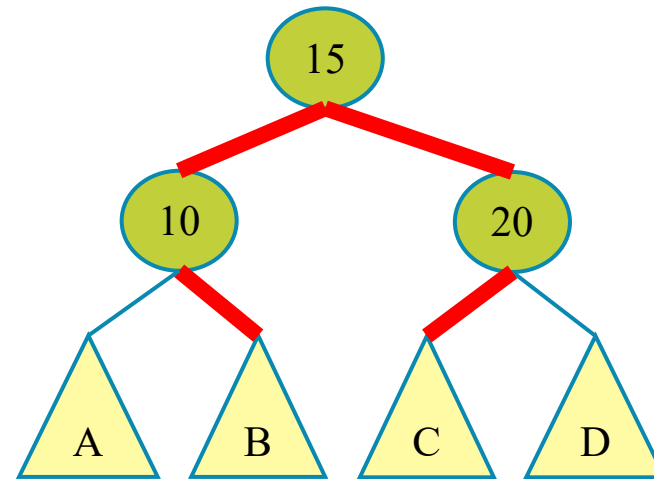
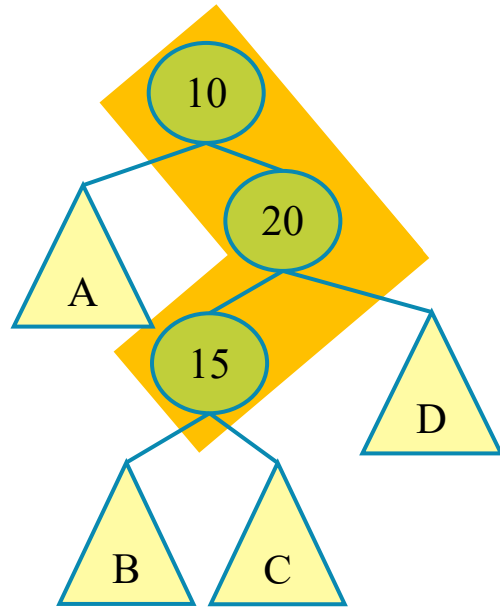


LL

# AVL TREES

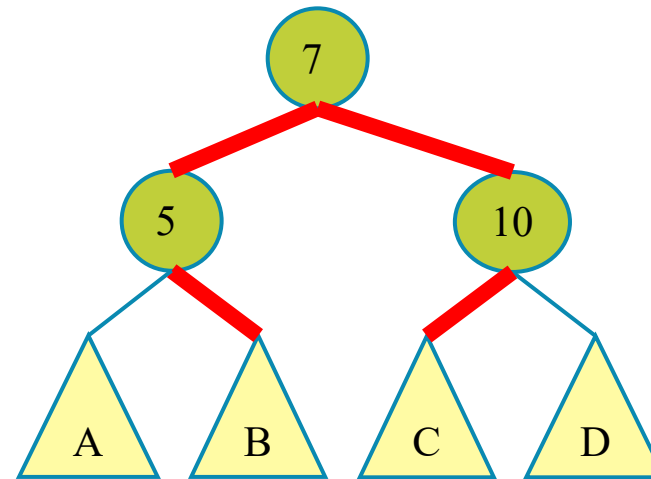
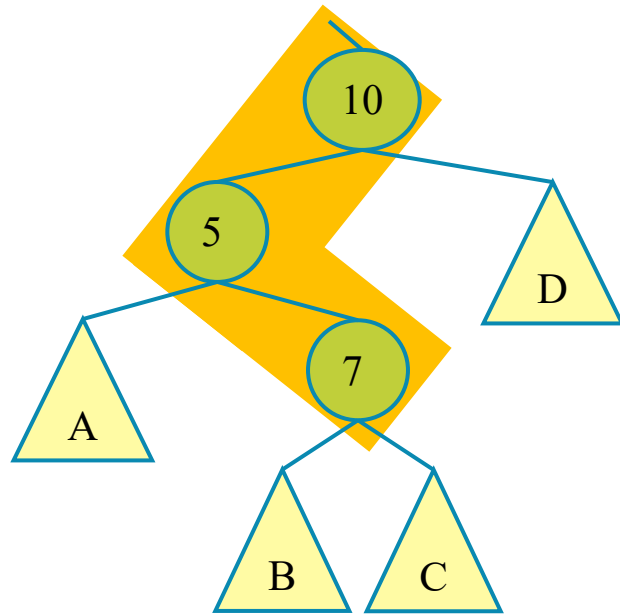
- Algorithm:
  2. If not Balanced then **ROTATE**

RL



# AVL TREES

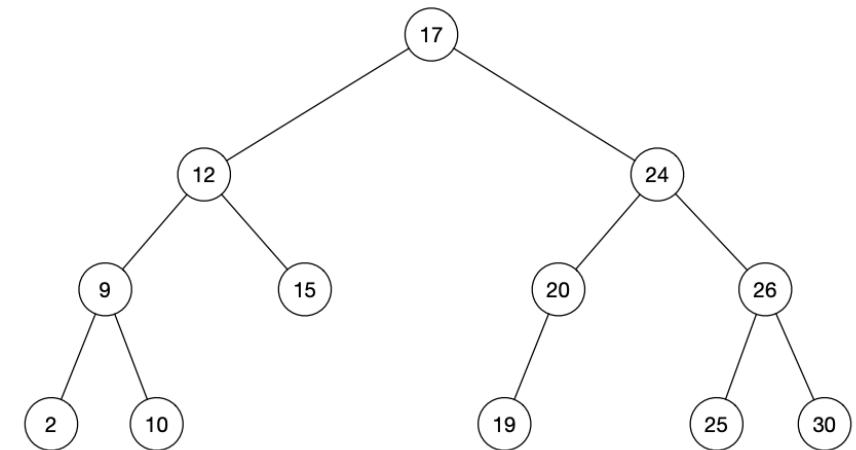
- Algorithm:
  2. If not Balanced then **ROTATE**



LR

# AVL TREES

- Cost of Checking Height is  $O(\log n)$ .
  - The Check\_height is conducted only when a node is inserted or removed.
  - The max number of nodes in a branch is  $\log n$ , where  $n$  is the max number of nodes.
- Cost of a Rotation is constant
  - 2-4 operations per rotation
- The overall cost is  $O(\log n)$  for insertion and removal
- The cost is  $O(\log n)$  for search.



# CS210: THE JOURNEY SO FAR

Data Structure / Algorithm	Runtime		
	Bestcase	Average Case	Worst Case
<b>Singly Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Doubly Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Circular Linked Lists</b>	$O(n)$	$O(n)$	$O(n)$
<b>Stacks*</b>	$O(1)$	$O(1)$	$O(1)$
<b>Queues*</b>	$O(1)$	$O(1)$	$O(1)$
<b>Binary Search Trees</b>	$O(1)$	$O(1.39 \log n)$	$O(n)$
<b>AVL Trees</b>	$O(1)$	$O(\log n)$	$O(\log n)$
<b>Bubble Sort</b>	$O(n^2)$	$O(n^2)$	$O(n^2)$
<b>Selection Sort</b>	$O(n^2/2)$	$O(n^2/2)$	$O(n^2)$
<b>Insertion Sort</b>	$O(n)$	$O(n^2/2)$	$O(n^2)$
<b>Merge Sort</b>	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$
<b>Tim Sort</b>	$O(n)$	$O(n \log n)$	$O(n \log n)$
<b>Quick Sort</b>	$O(n \log n)$	$O(1.39 n \log n)$	$O(n^2/2)$