

CONSISTENCY

CS435 Distributed Systems

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TOPICS

- Distributed Transactions
- 2Phase Commit
- 3Phase Commit
- Consensus based Commit
- Linearizability
- CAP Theorem
- Eventual Consistency

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DIST TRANSACTIONS



"Consistency" means different things in different contexts" "there is no one true definition of consistency"

In Distributed Systems, we have **transactions**, where certain operations describe a property of a state; e.g., a database is in a **consistent** or **inconsistent** state, i.e., the state satisfies or violates certain invariants defined by the application

"A Transaction is an operation that is composed of a sequence of discrete steps. All the steps must be completed (committed) before the results are made permanent."

Otherwise, the transaction is **aborted** and the state of the system reverts(**rollback**) to undo any changes.

Example:

Book a flight from Riyadh to Columbus Ohio. No non-stop flights are available:

Transaction begin

- 1. Reserve a seat for Riyadh to Newyork
- 2. Reserve a seat for Newyork to St Louis
- 3. Reserve a seat for St Louis to Columbus

Transaction end

If there are no seats available on the Newyork to St Louis; the entire transaction is **aborted** and reservations for (1) and (2) are **roll-backed**.

Transaction Basics:

Begin: mark the start of a transaction

Do operations; read/write/compute data, modify files, objects, program state But any changes will have to be restored if the transaction is aborted

- End: mark the end of a transaction no more tasks
- Commit: make the results permanent
- Abort: kill the transaction, roll-back old values

ACID

Atomic

– The transaction completes as a single indivisible action. Everything succeeds or else the entire transaction is rolled back. Others do not see intermediate results.

Consistent

A transaction cannot leave the database in an inconsistent state & all invariants must be preserved. E.g.,
 total amount of money in all accounts must be the same before and after a transfer funds transaction.

• Isolated (Serializable)

- Transactions cannot interfere with each other or see intermediate results If transactions run at the same time, the result must be the same as if they executed in some serial order.

Durable

Once a transaction commits, the results are made permanent.

Distributed Transactions

- A transaction that reads or writes data on multiple nodes
 - Data on these nodes may be **replicas** of the same dataset
 - Or different **parts** of a larger dataset
- Challenge
 - Handle machine, software, & network failures while preserving transaction integrity

Distributed Transactions

- Each computer runs a transaction manager
 - Responsible for sub-transactions on that system
 - Performs prepare, commit, and abort calls for sub-transactions
- Every sub-transaction must agree to commit changes before the overall transaction can complete
- Caveat (Consensus)
 - AGREE on a value proposed by at least one process
 - BUT we need unanimous agreement (100%) to commit change

Distributed Transactions

- Coordinator
 - Propose to commit a transaction
 - All participants agree ⇒ all participants then commit
 - Not all participants agree ⇒ all participants then **abort**

Distributed Transactions Algorithms must have:

- Safety (the algorithm must work correctly)
 - If one sub-transaction commits, no other sub-transaction will abort
 - If one sub-transaction needs to abort, no sub-transactions will commit

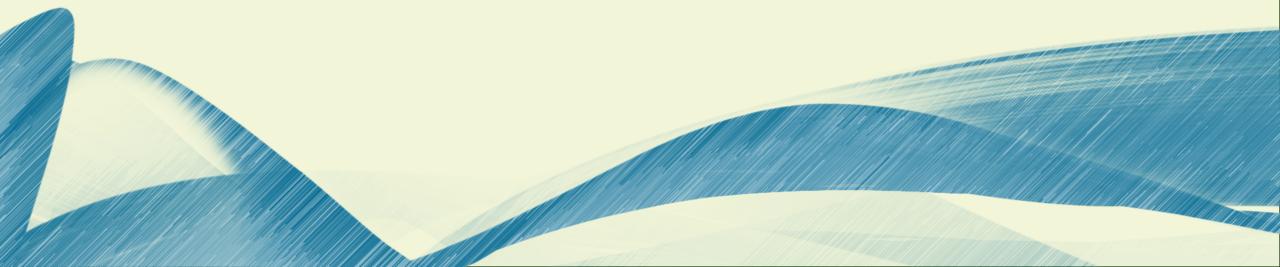
- Liveness (the algorithm must make progress & reach its goal)
 - If no sub-transactions fail, the transaction will commit
 - If any sub-transactions fail, the algorithm will reach a conclusion to abort

Distributed Transactions

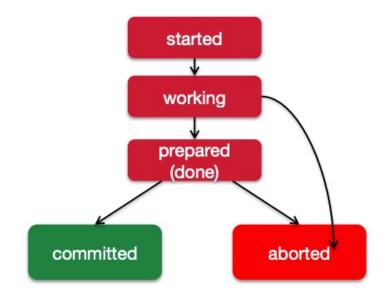
- A transaction that reads or writes data on multiple nodes
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2PC



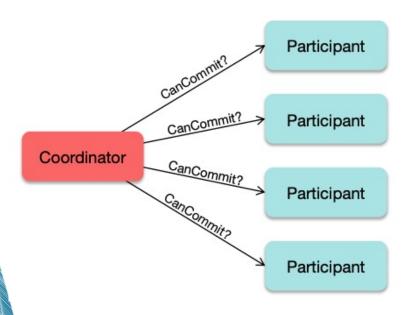
- Two Phase Commit (2PC) ensures atomic commitment.
 - All processes in the transaction will agree to commit or abort
 - One transaction manager is elected as a coordinator the rest are participants
 - When a participant enters the **prepared** state, it contacts the coordinator to start the commit protocol to commit the entire transaction

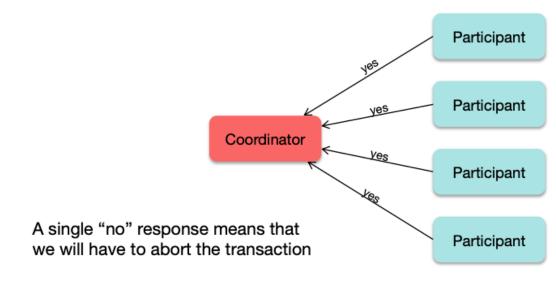


When a participant enters the **prepared** state, it contacts the coordinator to start the commit protocol to commit the entire transaction

Phase 1: Voting

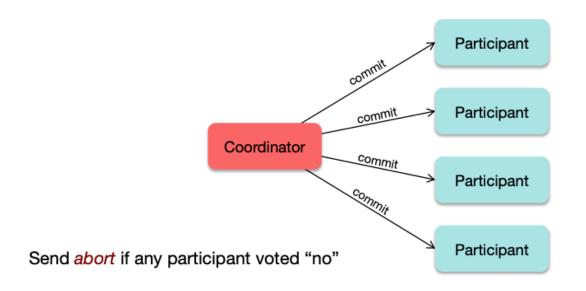
Get commit agreement from every participant

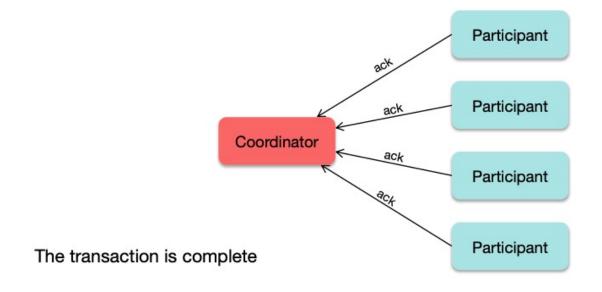




Phase 2: Commit

• Send the results of the vote to every participant.





Dealing with failure (Voting)

Voting

Coordinator dies

- Some participants may have responded; others have no clue
- ⇒ Coordinator restarts voting: checks log; sees that voting was in progress

Participant dies

- The participant may have died before or after sending its vote to the coordinator
- ⇒ If coordinator received the vote: wait for other votes and then goes to Phase 2
- ⇒ Otherwise: wait for the participant to recover and respond (keep querying it)

Dealing with failure (Commit)

Commit

Coordinator dies

- Some participants may have been given commit/abort instructions
- ⇒ Coordinator restarts; checks log; informs all participants of chosen action

Participant dies

- The participant may have died before or after getting the commit/abort request
- ⇒ Coordinator **keeps trying to contact the participant** with the request
- ⇒ Participant recovers; checks log; gets request from coordinator
 - If it committed/aborted, acknowledge the request
 - Otherwise, process the commit/abort request and send back the acknowledgement

Dealing with failure (Commit)

Recovery Coordinator

- Another Participant can take over as coordinator
- Contact ALL participants to see how they voted
- If we get voting results from all participants
 - We know that Phase 1 has completed
 - If all participants voted to commit ⇒ send commit request
 - Otherwise send abort request
- If ANY participant states that it has not voted
 - We know that Phase 1 has not completed
 - ⇒ Restart the protocol
- But ... if any participant node also crashes, we're stuck

2PC

Problem with 2PC

- A blocking protocol with failure modes that require all systems to recover eventually
- If the coordinator crashes, participants have **no idea** whether to **commit** or **abort**
 - A recovery coordinator helps
- If a coordinator AND a participant crashes
 - The system has no way of knowing the result of the transaction
 - It might have committed at the crashed participant
 - Hence all others must block

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3PC



Problem with 2PC

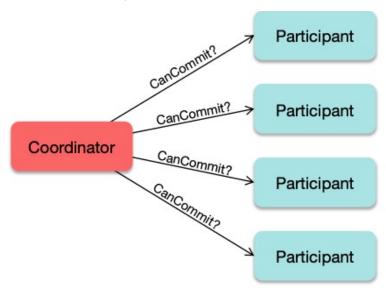
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- If the coordinator crashes, participants have no idea whether to commit or abort
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 - It might have committed at the crashed participant
 - Hence all others must block

3PC

- Same as 2PC but ADD timeouts to each phase that result in an abort
- Propagate the result of the commit/abort vote to each participant before telling them
 to act on it; this will allow us to recover the state of the transaction from any
 participant and give more options for aborting

Phase 1: Voting

- Coordinator sends CanCommit? queries to participants & gets responses
- If the coordinator gets a timeout from any participant or any "No" replies are received
 - Send an abort to all participants
- If a participant times out waiting for a request from the coordinator
 - It aborts itself (assume coordinator crashed)
- Else continue to phase 2



Phase 2: Prepare to commit

- Send a **prepare** message to all participants
- Get OK messages from all participants
 - We need to hear from all before proceeding so we can be sure the state of the protocol can be properly recovered if the coordinator dies i.e. let all participants know the decision to commit

• If a participant times out: assume it crashed; send abort to all participants

Phase 3: Finalize

- Send commit messages to participants and get responses from all
- If participant times out: contact any other participant and move to that state (commit or abort)
- If coordinator times out: abort

3PC Recovery

- If the coordinator crashes A recovery node can query the state from any available participant
- Possible states that the participant may report:

Already committed

- That means that every other participant has received a Prepare to Commit
- Some participants may have committed ⇒ Send Commit message to all participants (just in case they didn't get it)

Not committed but received a Prepare message

- That means that all participants agreed to commit; some may have committed
- Send Prepare to Commit message to all participants (just in case they didn't get it)
- Wait for everyone to acknowledge; then commit

Not yet received a Prepare message

- This means no participant has committed; some may have agreed
- Transaction can be aborted or the commit protocol can be restarted

3PC Weakness

- Suppose a coordinator sent a Prepare message to all participants
 - All participants acknowledged the message
 - BUT the coordinator died before it got all acknowledgements
- A recovery coordinator queries a participant.
 - It continues with the commit: Sends Prepare, gets ACKs, sends Commit
- Around the same time...the original coordinator recovers
 - Realizes it is still missing some replies from the Prepare
 - Gets timeouts from some and decides to send an Abort to all participants
- Some processes may commit while others abort!
- 3PC works well when servers crash (fail-stop model) BUT it is not resilient to **network partitions**, **fail-recovery** and **extra latency**

3PC

Problem with 3PC

- Suppose a coordinator sent a Prepare message to all participants
 - All participants acknowledged the message BUT the coordinator died before it got all acknowledgements
 - A recovery coordinator queries a participant; It continues with the commit: Sends Prepare, gets ACKs, sends Commit
 - Around the same time...the original coordinator recovers;
 - Realizes it is still missing some replies from the Prepare;
 - Gets timeouts from some and decides to send an Abort to all participants
 - Some processes may commit while others abort!
 - 3PC works well when servers crash (fail-stop model) But ...
 - 3PC is not resilient against **fail-recover environments**
 - 3PC is not resilient against network partitions
 - Also, 3PC involves an extra round of messages vs. 2PC → extra latency!

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CONSENSUS BASED COMMIT



CONSENSUS BASED COMMIT

- Consensus-based protocols (Raft, Paxos) are designed to be resilient against network partitions
- But consensus protocols are designed to solve a different problem!
 - Majority agreement makes sense in replicated state machines, not in distributed transactions, where each sub-transaction has different responsibilities

- Can we make 2PC use a consensus algorithm?
 - Turn the coordinator into a fault-tolerant replicated state machine
 - Use replicated nodes to avoid blocking if the coordinator fails
 - Run a consensus algorithm on the commit/abort decision of EACH participant

CONSENSUS BASED COMMIT

- Each participant must get its chosen value
 - can_commit or must_abort
 - accepted by the majority of replicated nodes
 - Transaction Leader
 - Chosen via an election algorithm
 - Coordinates the commit algorithm
 - Not a single point of failure we can elect a new one; Raft nodes store state

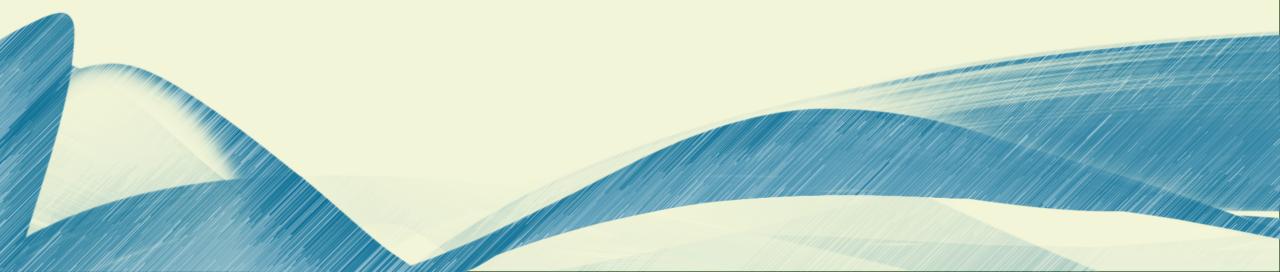
CONSENSUS BASED COMMIT

- Some participant decides to begin to commit
 - Sends a message to the Transaction Leader
- Transaction Leader: Sends a prepare message to each participant
- Each participant now sends a can_commit or must_abort message to its instance of the consensus protocol
 - All participants share the elected Transaction Leader
 - "Can_commit" or "Must_abort" is sent to majority of followers
 - Result is sent to the leader
- Transaction Leader tracks all instances of the commit protocol
 - Commit <u>iff every</u> participant's instance of the consensus protocol chooses "can_commit"

Tell each participant to commit or abort

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LINEARIZABILITY



LINEARIZABILITY

Linearizability, aka, Atomic consistency or strong consistency, is a consistency model for distributed systems that guarantees that each operation appears to have occurred *instantaneously* at a <u>single point</u> in time, known as its **linearization point**, and that operations from different nodes appear to have executed in <u>a sequential order</u>

Linearizability \neq **Serializability**

- Serializability means that transactions have the same effect as if they had been executed in some serial order, but it does not define what that order should be.
- Linearizability defines the values that operations must return, depending on the concurrency and relative ordering of those operations

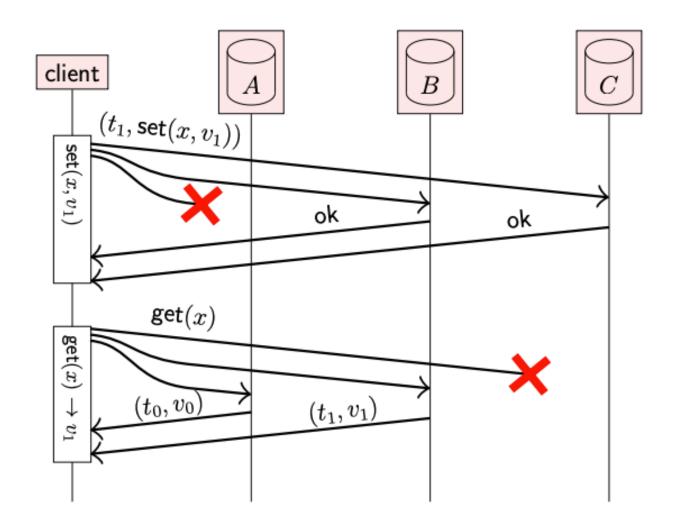
Multiple nodes concurrently accessing replicated data. How do we define "consistency" here?

The strongest option: linearizability

- Informally: every operation takes effect atomically sometime after it started and before it finished
- All operations behave as if executed on a single copy of the data (even if there are in fact multiple replicas)
- Consequence: every operation returns an "up-to-date" value, a.k.a. "strong consistency"
- Not just in distributed systems, also in shared-memory concurrency (memory on multi-core CPUs is not linearizable by default!)

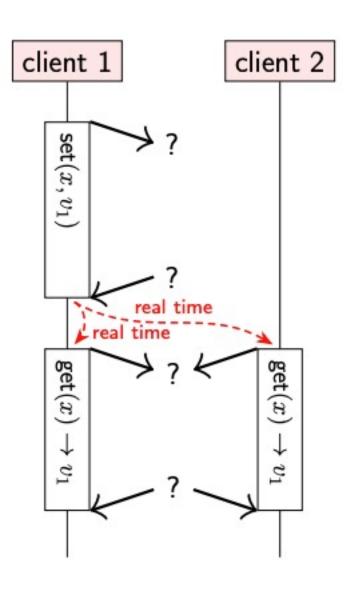
Read-after-Write consistency

- The client's view of a get/set operation as a rectangle covering the period of time from the start to finish of an operation.
- Inside the rectangle we write the effect of the operation: set(x, v) means updating the data item x to have the value v, and get(x) → v means a read of x that returns the value v.



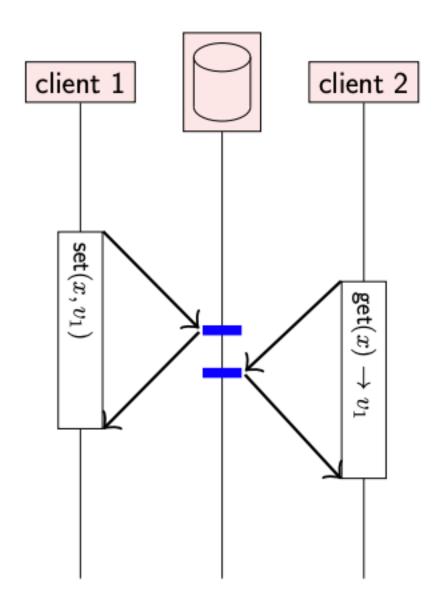
Read-after-Write consistency

- Focus on client-observable behavior: when and what an operation returns
- Ignore how the replication system is implemented internally
- Did operation A finish before operation B started?
- Even if the operations are on different nodes?
- This is not happens-before: we want client 2 to read value written by client 1, even if the clients have not communicated!



Read-after-Write consistency

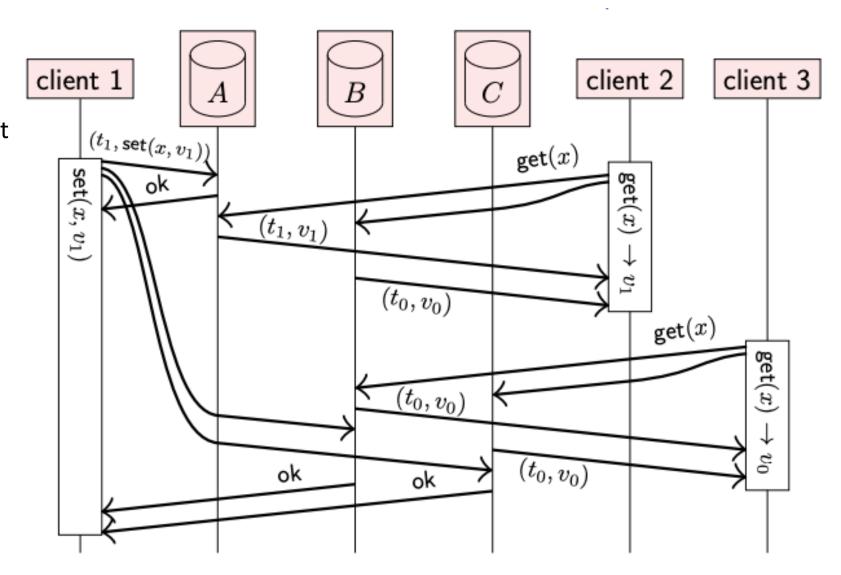
- Client 2's get operation overlaps in time with client 1's set operation
- Maybe the set operation takes effect first?
- Just as likely, the get operation may be executed first
- Either outcome is fine in this case



NON-LINEARIZABILITY

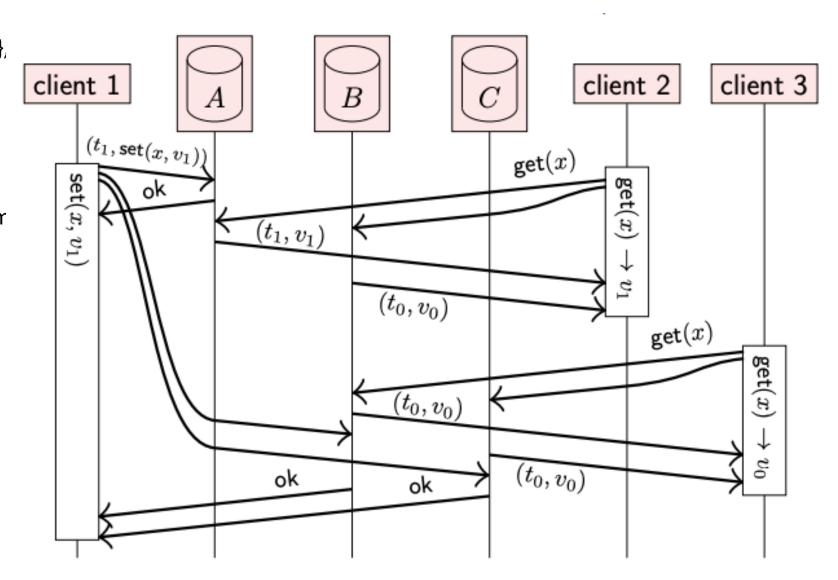
Non-Linearizable despite quorum reads/writes

- Linearizability is not only about the relationship of a get operation to a prior set operation, but it can also relate one get operation to another.
- EXAMPLE: Client 1 sets x to v1,
- Update to replica A happens quickly, while the updates to replicas B and C are delayed.

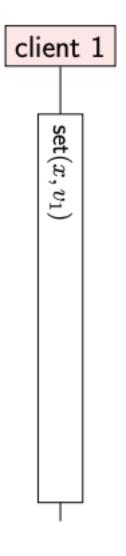


NON-LINEARIZABILITY

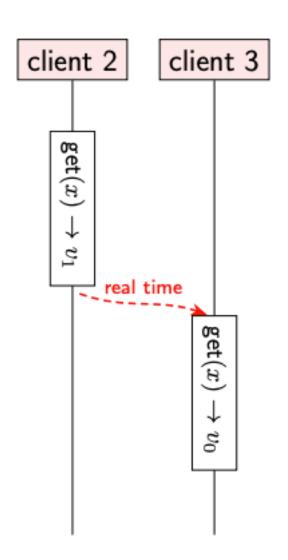
- Client 2 reads from a quorum of {A, B}, receives responses {v0, v1}, and determines v1 to be the newer value based on the attached timestamp.
- After client 2's read has finished, client 3 starts a read from a quorum of {B, C}, receives v0 from both replicas, and returns v0 (since it is not aware of v1).
- Client 3 observes an older value than client 2, even though the real-time order of operations would require client 3's read to return a value that is no older than client 2's result.
- This behavior is not allowed in a linearizable system



NON-LINEARIZABILITY



- Client 2's operation finishes before client 3's operation starts
- Linearizability therefore requires client 3's operation to observe a state no older than client 2's operation
- This example violates linearizability because v0 is older than v1



ATTIYA, BAR-NOY, AND DOLEV (ABD) ALGORITHM

1. Write Operation:

- When a process wants to perform a write operation on the shared register, it assigns a timestamp to the write operation and broadcasts the write request to all other processes.
- Upon receiving a write request, each process compares the timestamp of the incoming write operation with the timestamp of the last known write operation.
- If the incoming write operation has a higher timestamp, the process updates its local copy of the register with the new value and timestamp.

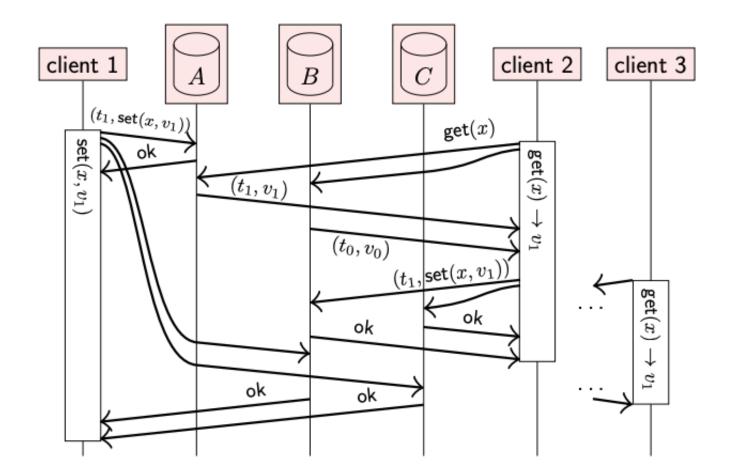
2.Read Operation:

- When a process wants to perform a read operation on the shared register, it sends a read request to all other processes.
- Each process responds to the read request by sending back its local copy of the register value along with its associated timestamp.
- The process collecting the responses chooses the value with the highest timestamp and returns it as the result of the read operation.

ATTIYA, BAR-NOY, AND DOLEV (ABD) ALGORITHM

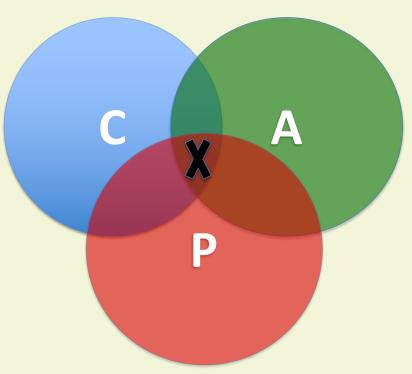
The ABD algorithm ensures consistency by enforcing a total order of write operations based on their timestamps. This total order allows processes to determine the latest value written to the shared register and ensures that all processes observe the same order of operations.

This ensures linearizability of get (quorum read) and set (blind write to quorum)



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CAP THEOREM



The following slides are taken from Lecture Notes on Dist Systems by Prof. Douglas Thain @ University of Notre Dame

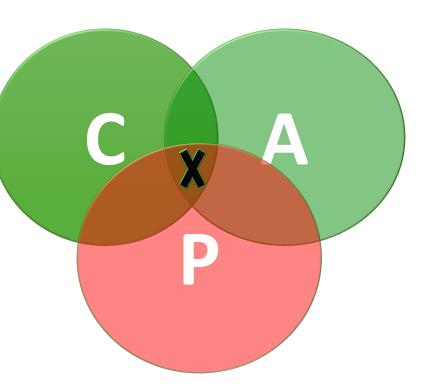
CAP THEOREM

- **Brewer's theorem**, is a fundamental principle in distributed computing that states that it is impossible for a distributed data system to simultaneously provide more than two out of three of the following guarantees:
 - **1. Consistency (C)**: Every read receives the most recent write or an error. In other words, all nodes in the system have the same data at the same time, regardless of which node they communicate with.
 - **2. Availability (A)**: Every request receives a response, even if some nodes are down or unreachable. In other words, the system remains operational and responsive to client requests under all circumstances.
 - **3. Partition tolerance (P)**: The system continues to operate despite network partitions that may cause some nodes to be unreachable by others. In other words, the system remains functional and maintains its guarantees even if there are network failures or splits.

In other words! When there is a network partition, you cannot guarantee both availability & consistency

CONSISTENCY OR AVAILABILITY

- Consistency and Availability is not "binary" decision
- AP systems relax consistency in favor of availability – but are not inconsistent
- CP systems sacrifice availability for consistencybut are not unavailable
- This suggests both AP and CP systems can offer a degree of consistency, and availability, as well as partition tolerance



AP: BEST EFFORT CONSISTENCY

- Example:
 - Web Caching
 - DNS
- Trait:
 - Optimistic
 - Expiration/Time-to-live
 - Conflict resolution

CP: BEST EFFORT AVAILABILITY

- Example:
 - Majority protocols
 - Distributed Locking (Google Chubby Lock service)
- Trait:
 - Pessimistic locking
 - Make minority partition unavailable

TYPES OF CONSISTENCY

- Strong Consistency
 - After the update completes, any subsequent access will return the same updated value.
- Weak Consistency
 - It is **not guaranteed** that subsequent accesses will return the updated value.
- Eventual Consistency
 - Specific form of weak consistency
 - It is guaranteed that if **no new updates** are made to object, **eventually** all accesses will return the last updated value (e.g., propagate updates to replicas in a lazy fashion)

EVENTUAL CONSISTENCY VARIATIONS

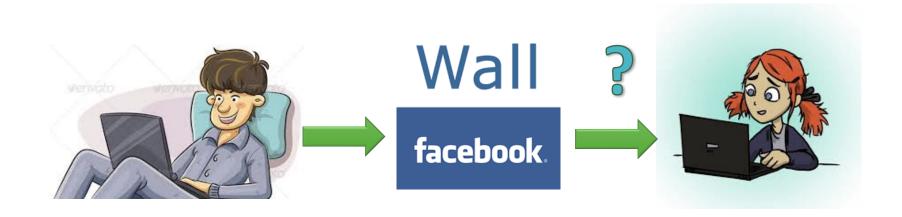
- Causal consistency
 - Processes that have causal relationship will see consistent data
- Read-your-write consistency
 - A process always accesses the data item after it's update operation and never sees an older value
- Session consistency
 - As long as session exists, system guarantees read-your-write consistency
 - Guarantees do not overlap sessions

EVENTUAL CONSISTENCY VARIATIONS

- Monotonic read consistency
 - If a process has seen a particular value of data item, any subsequent processes will never return any previous values
- Monotonic write consistency
 - The system guarantees to serialize the writes by the same process
- In practice
 - A number of these properties can be combined
 - Monotonic reads and read-your-writes are most desirable

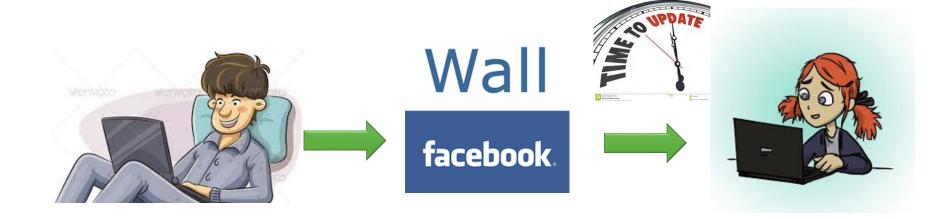
EVENTUAL CONSISTENCY - A FACEBOOK EXAMPLE

- Bob finds an interesting story and shares with Alice by posting on her Facebook wall
- Bob asks Alice to check it out
- Alice logs in her account, checks her Facebook wall but finds:
 - Nothing is there!



EVENTUAL CONSISTENCY- A FACEBOOK EXAMPLE

- Bob tells Alice to wait a bit and check out later
- Alice waits for a minute or so and checks back:
 - She finds the story Bob shared with her!



EVENTUAL CONSISTENCY- A FACEBOOK EXAMPLE

- Reason: it is possible because Facebook uses an eventual consistent model
- Why Facebook chooses eventual consistent model over the strong consistent one?
 - Facebook has more than 1 billion active users
 - It is non-trivial to efficiently and reliably store the huge amount of data generated at any given time
 - Eventual consistent model offers the option to reduce the load and improve availability

EVENTUAL CONSISTENCY- A DROPBOX EXAMPLE

- Dropbox enabled immediate consistency via synchronization in many cases.
- However, what happens in case of a network partition?



EVENTUAL CONSISTENCY- A DROPBOX EXAMPLE

- Let's do a simple experiment here:
 - Open a file in your drop box
 - Disable your network connection (e.g., WiFi, 4G)
 - Try to edit the file in the drop box: can you do that?
 - Re-enable your network connection: what happens to your dropbox folder?

EVENTUAL CONSISTENCY- A DROPBOX EXAMPLE

- Dropbox embraces eventual consistency:
 - Immediate consistency is impossible in case of a network partition
 - Users will feel bad if their word documents freeze each time they hit Ctrl+S, simply
 due to the large latency to update all devices across WAN
 - Dropbox is oriented to **personal syncing**, not on collaboration, so it is not a real limitation.

EVENTUAL CONSISTENCY- AN ATM EXAMPLE

- In design of automated teller machine (ATM):
 - Strong consistency appear to be a nature choice
 - However, in practice, A beats C
 - Higher availability means higher revenue
 - ATM will allow you to withdraw money even if the machine is partitioned from the network
 - However, it puts a limit on the amount of withdraw (e.g., \$200)
 - The bank might also charge you a fee when a overdraft happens



DYNAMIC TRADEOFF BETWEEN C AND A

- An airline reservation system:
 - When most of seats are available: it is ok to rely on somewhat out-of-date data, availability is more critical
 - When the plane is close to be filled: it needs more accurate data to ensure the plane is not overbooked, consistency is more critical
- Neither strong consistency nor guaranteed availability, but it may significantly increase the tolerance of network disruption

HETEROGENEITY: SEGMENTING C AND A

- No single uniform requirement
 - Some aspects require strong consistency
 - Others require high availability
- Segment the system into different components
 - Each provides different types of guarantees
- Overall guarantees neither consistency nor availability
 - Each part of the service gets exactly what it needs
- Can be partitioned along different dimensions

DISCUSSION

- In an e-commercial system (e.g., Amazon, e-Bay, etc), what are the trade-offs between consistency and availability you can think of? What is your strategy?
- Hint -> Things you might want to consider:
 - Different types of data (e.g., shopping cart, billing, product, etc.)
 - Different types of operations (e.g., query, purchase, etc.)
 - Different types of services (e.g., distributed lock, DNS, etc.)
 - Different groups of users (e.g., users in different geographic areas, etc.)

- Data Partitioning
- Operational Partitioning
- Functional Partitioning
- User Partitioning
- Hierarchical Partitioning

Data Partitioning

- Different data may require different consistency and availability
- Example:
 - Shopping cart: high availability, responsive, can sometimes suffer anomalies
 - Product information need to be available, slight variation in inventory is sufferable
 - Checkout, billing, shipping records must be consistent

Operational Partitioning

- Each operation may require different balance between consistency and availability
- Example:
 - Reads: high availability; e.g.., "query"
 - Writes: high consistency, lock when writing; e.g., "purchase"

Functional Partitioning

- System consists of sub-services
- Different sub-services provide different balances
- Example: A comprehensive distributed system
 - Distributed lock service (e.g., Chubby) :
 - Strong consistency
 - DNS service:
 - High availability

User Partitioning

- Try to keep related data close together to assure better performance
- Example: Craglist
 - Might want to divide its service into several data centers, e.g., east coast and west coast
 - Users get high performance (e.g., high availability and good consistency) if they query servers closet to them
 - Poorer performance if a New York user query Craglist in San Francisco

Hierarchical Partitioning

- Large global service with local "extensions"
- Different location in hierarchy may use different consistency
- Example:
 - Local servers (better connected) guarantee more consistency and availability
 - Global servers has more partition and relax one of the requirement

WHAT IF THERE ARE NO PARTITIONS?

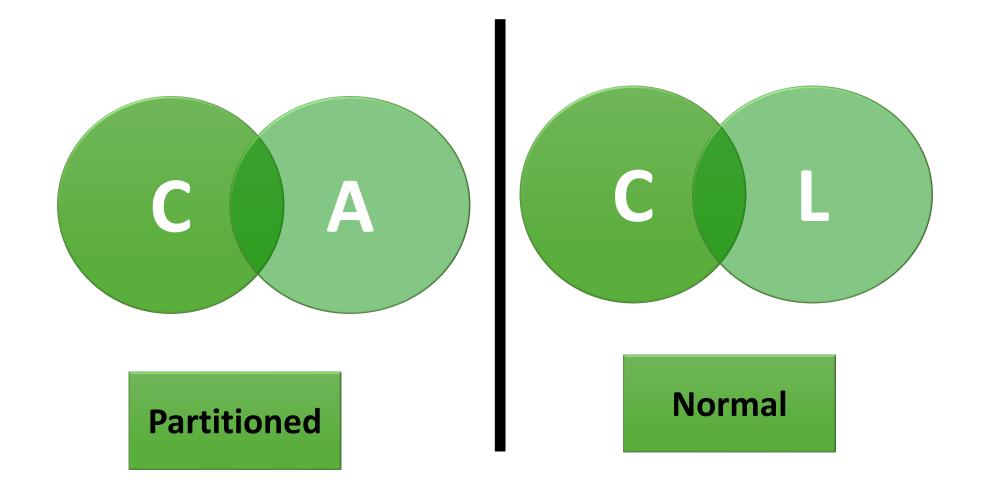
- Tradeoff between **Consistency** and **Latency**:
- Caused by the possibility of failure in distributed systems
 - High availability -> replicate data -> consistency problem
- Basic idea:
 - Availability and latency are arguably the same thing: unavailable -> extreme high latency
 - Achieving different levels of consistency/availability takes different amount of time

CAP -> PACELC

- A more complete description of the space of potential tradeoffs for distributed system:
 - If there is a partition (P), how does the system trade off availability and consistency (A and C); else (E), when the system is running normally in the absence of partitions, how does the system trade off latency (L) and consistency (C)?

Abadi, Daniel J. "Consistency tradeoffs in modern distributed database system design." Computer-IEEE Computer Magazine 45.2 (2012): 37.

PACELC

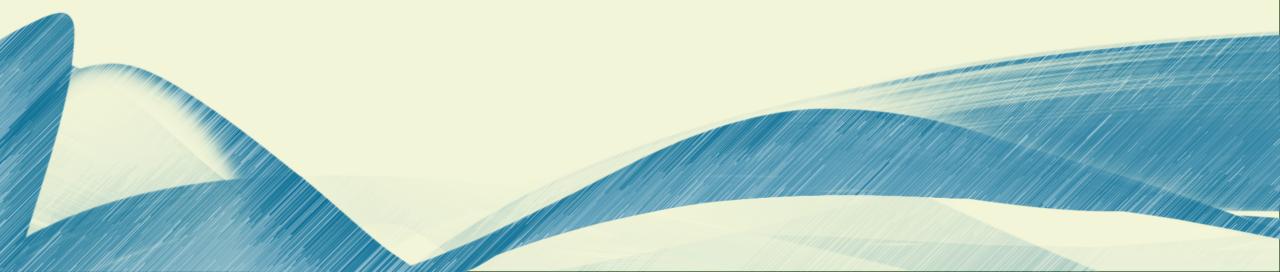


EXAMPLES

- PA/EL Systems: Give up both Cs for availability and lower latency
 - Dynamo, Cassandra, Riak
- PC/EC Systems: Refuse to give up consistency and pay the cost of availability and latency
 - BigTable, Hbase, VoltDB/H-Store
- **PA/EC Systems:** Give up consistency when a partition happens and keep consistency in normal operations
 - MongoDB
- **PC/EL System:** Keep consistency if a partition occurs but gives up consistency for latency in normal operations
 - Yahoo! PNUTS

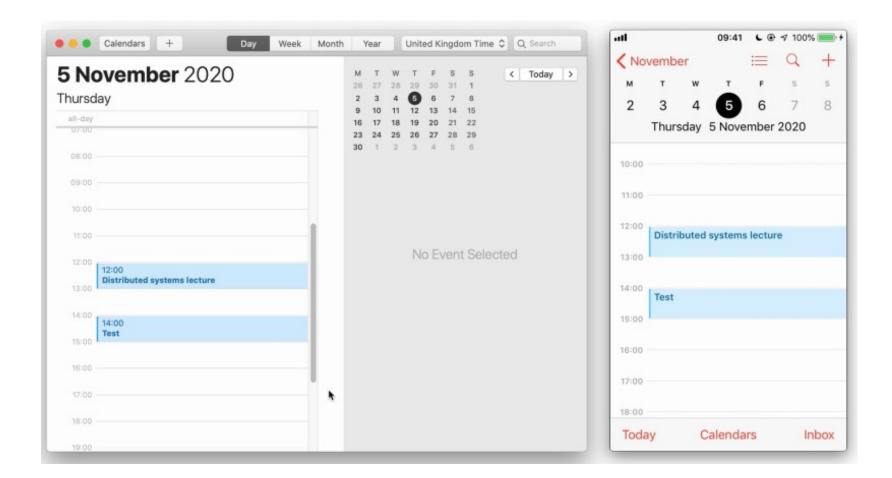
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EVENTUAL CONSISTENCY



EVENTUAL CONSISTENCY

Calendar apps allow the user to read and write events in their calendar even while a device is <u>offline</u>, and they sync any updates between devices sometime later, in the background, when an internet connection is available.



EVENTUAL CONSISTENCY

- Option 1: We can have linearizable consistency, but in this case, some replicas will not be able to respond to requests because they cannot communicate with a quorum. Not being able to respond to requests makes those nodes effectively unavailable.
- Option 2: We can allow replicas to respond to requests even if they cannot communicate with other replicas. In this case, they continue to be available, but we cannot guarantee linearizability.

For Calendar app, which option is more suitable??

EVENTUAL CONSISTENCY

 The approach of allowing each replica to process both reads and writes based only on its local state, and <u>without waiting</u> for communication with other replicas, is called <u>optimistic replication</u>.

Weak definition

• Eventual consistency is defined as: "if no new updates are made to an object, eventually all reads will return the last updated value"

Stronger definition

- Eventual delivery: every update made to one non-faulty replica is eventually processed by every non-faulty replica.
- Convergence: any two replicas that have processed the same set of updates are in the same state (even if updates were processed in a different order)